Scheme Note

Decision Date	Matter ID
2019-03-07	LGM Decision, CR-2701, CR-2732, CR-2720
Decision by (Name/Title)	Scheme Note Number
Dag Ströman, Head of CSEC	21
Presentation by	Present at the Meeting
Mats Engquist	CCB 2019-03-07

Scheme Note Statement

Description and References

Introduction

This Scheme Note outlines how CSEC conducts certifications, against protection profiles approved by National Information Assurance Partnership (NIAP), when the sponsor (or the developer) has the intention that the certified product shall be listed on National Information Assurance Partnership's Product Compliant List (PCL).

Information about NIAP, NIAP's regulations and about the Product Compliant List can be found at www.niap-ccevs.org.

Certification

A certification against a PP is performed according to the Swedish Common Criteria Evaluation and Certification Scheme and the Quality Management System of the Certification Body.

The certification is based on the assurance components and assurance requirements described in the Security Target, the PP being claimed in the Security Target and the associated Supporting Documents (if any).

Upon certification, an assessment of whether the ST is in exact compliance with the PP, being claimed in the Security Target, is made but CSEC makes no commitment on this subject.

For some PPs there are "Related Technical Decisions" listed on the NIAP web site. During certification the certifier will check that the evaluator has taken these decisions into account in the evaluation work.

The Assurance Activity Report

The application for the product to be listed on the PCL shall accompanied by an Assurance Activity Report (AAR) as mentioned below.

Since CSEC do not have authority to decide whether the product is eligible to be put on the PCL, CSEC will not review the AAR.

Pricing

Certifications against NIAP-approved protection profiles are priced in accordance with scheme publication SP-008 *Charges and fees* as follows:

- Application fee
- Certification fee, based on:
    - Assurance level covering all assurance requirements of the specific PP
    - Specific additions in the certification, if applicable, according to SP-008 *Charges and fees*
- Additional fee for limited re-evaluation and renewed certification decision (if relevant)

Description and References

Cooperation with NIAP

Before starting this type of certification, CSEC will notify NIAP. This notification includes the product name, the vendor, evaluation start date, and the NIAP-approved PP/EP with which compliance is being claimed.

During the certification, and in order to get guidance in the certification work, CSEC may exchange information with NIAP as deemed relevant and necessary.

Limited Re-evaluation and Renewed Certification Decision

Additional reviews, outside the scope of the certification within the Swedish scheme, may be performed by NIAP when the product is requested to be listed on the NIAP PCL. Should NIAP's review result in the need for updating of some parts of the evaluation, a renewed certification decision may be considered, taking these changes into account.

Updates of the evaluation and certification may be initiated at the request of the sponsor or the ITSEF on behalf of the sponsor.

A Renewed Certification Decision may be preceded by a limited Re-evaluation, The ITSEF SHOULD contact CSEC to get instructions about the need for an Impact Analysis Report and the extent of such a report.

CSEC may charge a fee, corresponding to the predicted amount of work, for the renewed certification decision and the certification activities required after a limited re-evaluation.

CSEC will not charge an application fee for the limited re-evaluation.

If CSEC decides to charge a fee, a tender stating this fee, will be sent to the Sponsor. When the tender is accepted the activities of the Certification Body may commence.

Documents to be submitted to NIAP

In order to get a certified product listed on the PCL, certain documents should be submitted to NIAP.

At the time this Scheme Note is issued, these documents are:

- the Security Target,
- the Assurance Activity Report (AAR),
- the Administrative Guidance Documents,
- the Certification Report and
- the Certificate.

The part interested to get the product listed on the PCL should contact NIAP to get the latest updates on this subject.

CSEC is not responsible for submitting these documents to NIAP. It is the responsibility of the part interested to get the product listed on the PCL.

Disclaimer

A certification performed by CSEC does not automatically mean that a product will be accepted by NIAP to be listed on the PCL. It is within the authority of NIAP to approve product to be on the PCL. CSEC has no influence over, and will therefore not take any responsibility for, this decision.

Additional information

NIAP may have issued additional information related to the usage of an approved PP. Such information may be found at NIAPs web.

The part interested to get a product listed on the PCL is advised to get the latest information about this by contacting NIAP directly.

Description and References
Appended Documents