Scheme Note

Decision Date		Matter ID	
2023-09-07		CR-2716, CR-2812	
Decision by (Name/Title)		Scheme Note Number	Dnr
Karl Johan Tjulstad		28	23FMV4539-2
Presentation by		Present at the Meeting	
Theodora Arvanitidis		CCB 2023-09-07	

Schematolkningens innebörd/Scheme Note Statement

Description and References

Background

The process for evaluation and certification is described in scheme publication SP-002 *Evaluation and Certification*.

To improve the efficiency and throughput of the Certification Body, some of the procedures for application for certification and delivery of evaluator reports are changed.

A new activity is added to the process: Presentation of evaluation results at a meeting.

The changes will be implemented in future versions of scheme publication SP-002 *Evaluation and Certification* but are effective immediately, in all certifications, when this scheme note is published.

Application for Certification

SP-002 *Evaluation and Certification* describes how to apply for certification and what documentation should be submitted together with the application.

To make the application review more effective and to reduce risk in the certification the application SHALL also be accompanied by:

- A complete single evaluation report (SER) regarding the security target or protection profile that is to be certified.

The SER SHALL NOT contain any FAIL verdicts but MAY contain INCONCLUSIVE.

Evaluator Reporting

The result of the evaluation is reported to the Certification Body in single evaluation reports (SER) and in a final evaluation report (FER)

For certification of products, the Certification Body expects delivery of single evaluation reports in two batches:

- Batch 1:
    - SER ASE (single evaluation report for assurance class Security Target Evaluation)
- Batch 2:
    - SER AGD (single evaluation report for assurance class Guidance Documents)
    - SER ADV (single evaluation report for assurance class Development)
    - SER ALC (single evaluation report for assurance class Life-Cycle Support)
    - SER ATE (single evaluation report for assurance class Tests)
    - SER AVA (single evaluation report for assurance class Vulnerability Assessment)

After agreement with the Certification Body the reports MAY be delivered in different order. For example, batch 2 MAY be divided into batch 1,5 (SER AGD, SER ADV, SER ALC) and batch 2 (SER ATE, SER AVA, FER).

During NIAP-PP and cPP evaluations, any special reports required by the PP, such as KMD (Key Management Description), EA (Entropy Assessment), or AAR (Assurance Activity Report) MAY be delivered separately, or included in the second batch.

The final evaluation report (FER) MAY be delivered separately, or included in the second batch.

Presentation of evaluation results

A new activity for evaluators will be to present the evaluation result at a meeting with the Certification Body, in connection with the certifier's review of the evaluation reports. The presentation may be conducted by the lead evaluator.

Presentation meetings may be organised after each batch of reports.

The certifier will invite the evaluator to the meetings which will be held at the Certification Body.

Site Visit and Testing Oversight

For certifications at EAL3 or above the certifier may participate in the evaluators site visit at the developer site and in the evaluators testing activities.

The changes to the process implemented through this Scheme Note do NOT imply any changes to these certifier activities.

Site Visit and Testing Oversight SHALL be planned together with the Certification Body.

Appended Documents